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PATENT, TRADEMARK OFFICE

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see to  
COPY OF PAPER BOX MISSING PARTS  
ORIGINALLY FILED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Keith L. Frost et al. Attorney Docket No: BIOL0017  
Serial No: 09/976,237 Group Art Unit: 2877  
Filed: October 12, 2001 Examiner:  
Title: METHOD AND APPARATUS FOR READING  
REPORTER LABELED BEADS

TRANSMITTAL OF MISSING PARTS OF PATENT APPLICATION/  
REQUEST FOR RECORDATION OF ASSIGNMENT

Bellevue, Washington 98004

December 5, 2001

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

A. Transmitted Herewith Are The Following:

- ☒ 1. An executed Combined Declaration and Power of Attorney in the above-identified U.S. patent application.
- ☒ 2. An Assignment of the invention to **Amnis Corporation** is attached. A Cover Sheet prepared in accordance with 37 C.F.R. § 3.31 is attached to the Assignment. Please record this Assignment in accordance with 37 C.F.R. § 3.11.
- ☒ 3. A copy of the Notice to File Missing Parts.
- ☒ 4. Applicant(s) claims small entity status. See 37 C.F.R. § 1.27.

COMPUTATION OF FEE

	<u>Number of Claims Filed</u>	<u>Number of Extra Claims</u>	<u>Rate</u>	<u>Basic Fee</u> \$370
Total Claims	1 - 20 =	-0-	\$9	-0-
Independent Claims	1 - 3 =	-0-	\$42	-0-
Multiple Dependent Claims	---	---	\$140	-0-
Total Filing Fee				\$370
Surcharge Fee				65
Assignment Recording Fee				<u>40</u>
TOTAL FEE				<u>\$ 475</u>

1     X 5.     Our check No. 5555 in the amount of \$475 to cover the total fee as  
2             computed above.

3     B.     Additional Fee Charges or Credit for Overpayment

4             Please charge any additional fees or credit any overpayment to Deposit Account No. 01-1940.

5     A copy of this sheet is enclosed.

6                             Respectfully submitted,

7                             *Ron Anderson*

8                             Ronald M. Anderson  
9                             Registration No. 28,829

10            I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a  
11            sealed envelope as first class mail with postage thereon fully prepaid addressed to: Commissioner of  
12            Patents and Trademarks, Washington, D.C. 20231, on December 5, 2001.

13            Date: December 5, 2001

14                             *Kathy Pankid*



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/976,237	10/12/2001	Keith L. Frost	BIOL0017

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CONFIRMATION NO. 9706

FORMALITIES LETTER



Date Mailed: 11/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 435.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/22/2002 BABRAHA1 00000159 09976237

01 FC:201  
02 FC:205

370.00 OP  
65.00 OP